

02/01/01
jc960 U.S. PTO

02-02-01
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING APPLICATION
Under Rule 53(a), (b) & (f)
(No Filing Fee or Oath/Declaration)
(Do NOT use for Provisional or PCT Applications)
Use for Design or Utility Applications

NO FEE

PATENT APPLICATION

RULE 53(f) NO DECLARATION

Hon. Commissioner of Patents
Washington, DC 20231

Atty. Dkt. PM 034062
M#

272031
Client Ref

Date: February 1, 2001

Sir:

1. This is a Request for filing a new Patent Application (☐ Design ☒ Utility) entitled:

2. (Complete) Title:

MATERIAL HANDLER APPARATUS

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).

4. 9 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language

6. 14 Numbered claim(s); and

7. ☒ Drawings: 5 sheet(s) ☒ 1 set informal; 8. ☐ formal of size: ☐ A4 ☐ 11"

9. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		<input type="checkbox"/> See 3 rd page for additional priorities	

11. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / filed on _____

12. ☐ This is a reissue of Patent No. _____

13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)

14. ☐ Amend the specification by inserting before the first line -- This is a ☐ Continuation-in-Part
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:

14(a) ☐ National Appln. No. _____ / filed _____ - (M#)

14(b) ☐ International Appln. No. PCT/_____ filed _____ which
designated the U.S., and that International Application ☐ was ☐ was not published
under PCT Article 21(2) in English.--

15. ☐ Amend the specification by inserting before the first line: --This application
claims the benefit of U.S. Provisional Application No. 60/____, filed ____--

16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed

17. ☐ Small Entity Status is claimed (pre-filing confirmation required)

17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not
essential to make claim)

17(b) ☐ See NONPUBLICATION REQUEST under Rule 213(a) attached (PAT-258)

☐ Prior application is assigned to

☐ Assignment recorded

Reel

Frame

☐ Attached:

20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Jeffrey	M.	Spatz
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(2) Inventor			
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Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
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(5) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

21. NOTE: FOR ADDITIONAL INVENTORS, check box ☐
and attach sheet with same information regarding additional inventors.

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NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

CERTIFICATE OF MAILING VIA EXPRESS MAIL UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being mailed via "Express mail Post Office to Addressee" service of the United States Postal Services (Express Mail Label No. **EL 841 307 319 US**), on this 1st day of February, 2001 in an envelope addressed to the Assistant Commissioner of Patent and Trademarks, U.S. Patent and Trademark Office, Washington, D.C. 20231.



By: Kim Cabello
Kim Cabello
Assistant to Jonathan E. Jobe, Jr. of
Pillsbury Winthrop LLP

Enclosed for filing: Request for Filing Application Under Rule 53(f) No Declaration (in duplicate); Specification Cover Sheet; Specification (w/claims) (9 pages); Abstract (1 page); Drawings (Figures 1-5) (5 Sheets); Certificate of Mailing Via Express Mail Under 37 C.F.R. § 1.10; Return Postcard.

Attorney Docket No.: 034062-272031